

PART B - FEE(S) TRANSMITTAL

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09/01/2009

HOXIE & ASSOCIATES LLC
75 MAIN STREET, SUITE 301
MILLBURN, NJ 07041

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Mary Jane Luba

(Depositor's name)

November 30, 2009

(Signature)

November 30, 2009

(Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/586,821 | 10/18/2006 | Maurice Van Els | NOV-16-US | 4842 |

TITLE OF INVENTION: INDOLYLMALEIMIDE DERIVATIVES AS PKC INHIBITORS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEES DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|----------------|------------|
| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 12/01/2009 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|--------------------------|----------|----------------|
| SHTERENGARTS, SAMANTHA L | 1626 | 514.253010 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/127) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47, Rev. 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Hoxie & Associates LLC

2 Jay Pattumudi

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Novartis AG

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Lichstrasse 35
CH-4056 Basel, Switzerland

Please check the appropriate assigner category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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☒ Publication Fee (No small entity discount permitted)

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Jay Pattumudi

Date

11/30/2009

Typed or printed name

Jay Pattumudi

Registration No.

52,104

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